

Determinations Committee Decision

Date:	October 24, 2013
Determinations Committee:	Americas
Meeting Date:	October 24, 2013

DC Issue 2013101001:	Has a Succession Event occurred with respect to Merrill Lynch & Co., Inc.?	
Question for vote	<p>a) Has a Succession Event occurred with respect to Merrill Lynch & Co., Inc.?</p> <p>(b) Is Bank of America Corporation the sole Successor?</p> <p>(c) Is the date of the Succession Event October 1, 2013?</p>	
Vote result:	Yes	
Votes:	14 'Yes' votes and 0 'No' votes	
	Bank of America N.A.	*Abstain
	Barclays Bank plc	Yes
	BlueMountain Capital Management, LLC	Yes
	BNP Paribas	Yes
	Citadel LLC	Yes
	Citibank, N.A.	Yes
	Credit Suisse International	Yes
	D. E. Shaw & Co., L.P.	Yes
	Deutsche Bank AG	Yes
	Elliott Management Corporation	Yes
	Goldman Sachs International	Yes
	JPMorgan Chase Bank, N.A.	Yes
	Morgan Stanley & Co. International plc	Yes
	Pacific Investment Management Co., LLC	Yes
	UBS AG	Yes

*Abstaining under Section 2.3 (c) of the DC Rules